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WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

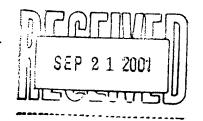
09/912,923

07/25/2001

James M. Tour

1789-05303

23505 ♠ 556 CONLEY ROSE & TAYON, P.C. P. O. BOX 3267 HOUSTON. TX 77253-3267



CONFIRMATION NO. 5497
FORMALITIES LETTER

C0000000006560688

Date Mailed: 09/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/27/2002 SMINASS1 00000027 032769 09912923

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APPERS
Approved for use through 10/31/2002. OMB 0651-003/
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE equired to respond to a collection of information unless it contains a valid OMB control number Under the Paperwork Reduction Act of 1995, no pe **TRANSMITTAL**

FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/912,923
Filing Date	July 25, 2001
First Named Inventor	James L. Tour
Group Art Unit	2818
Examiner Name	
Attorney Docket Number	1789-05303

ENCLOSURES (check all that apply)							
☐ Fee Attached ☐ Amendment/Reply ☐ After Final ☐ Affidavits/declaration(s) ☐ Extension of Time Request ☐ Express Abandonment Request ☐ Information Disclosure Statement ☐ Certified Copy of Priority Document(s) ☐ Response to Missing Parts/Incomplete Application ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53	Assignment (for an application) Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks	 □ After Allowance Communication to Group □ Appeal Communication to Board of Appeals and Interferences □ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) □ Proprietary Information □ Status Letter ☑ Other Enclosure(s) (please identify below): Copy of Notice to File Missing Parts; Declaration (1 p.), Application Data Sheet (3 p.) 					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm Or Individual Name Signature Date October 26 2001							
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: October 20, 2001. Typed or Printed Name M. A. CRABTREE Signature Date October 20, 2001							

ſ			Complete if Known				
k	FEB 1 1 2002 For FY 200	TTAL	Application Number	09/912,923			
	For EV 200	12 Opy Op	Filing Date	July 25, 2001			
ŀ	FEB 1 1 2002 of FOR FI ZUC	JZ HIGINALLY FI	Rirst Named Inventor	James L. Tour			
	Pakent fees are subject to annu	al revision:	Examiner Name				
Patent fees are subject to annual revision.		Group Art Unit	2818				
L	TOTAL AMOUNT OF PAYMENT	\$ 65.00	Attorney Docket No.	1789-05303			

METHOD OF PAYMENT			FE	E CAI	LCULATION (continued)	·
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES					
indicated fees and credit any overpayment to:	Large Entity Small Entity					
Deposit Account Number: 03-2769		Fee	Fee	Fee	Fee Description	
Deposit Account Name: Conley, Rose & Tayon, P.C.	Code		Code	(\$)	ree Description	Fee Paid
	105	130	205	65	Surcharge - late filing fee or oath	\$65.00
Under 37 CFR 1.16 and 1.17	103	50	227	25	Surcharge - late provisional filing	\$05.00
Applicant claims small entity status.	127	50	LLI	23	fee or cover sheet	\$
See 37 CFR 1.27	139	130	139	130	Non-English specification	\$
	147	2,520	147	2,520	For filing a request for ex parte	•
2. Payment Enclosed:		2,520	1-77	2,520	reexamination	\$
☐ Check ☐ Credit Card ☐ Money ☐ Other	112	920*	112	920	* Requesting publication of SIR prior	•
Order		,		,_,	to Examiner action	\$
	113	1.840*	113	1,840*		•
EDE CALCUM ATTOM		•		•	Examiner action	\$
FEE CALCULATION	115	110	215	55		\$
1. BASIC FILING FEE	116	400	216	200	Extension for reply within second month	Š
Large Entity Small Entity	117	920	217	460	Extension for reply within third month	\$
Fee Fee Fee Fee Description	118	1,440	218	720	Extension for reply within fourth month	\$
Code (\$) Code (\$) Fee Paid	128	1,960	228	980	Extension for reply within fifth month	\$
101 740 201 370 Utility filing Fee \$	119	320	219	160	Notice of Appeal	\$
106 330 206 165 Design filing fee \$	120	320	220	160	Filing a brief in support of an appeal	\$
107 510 207 255 Plant filing fee \$	121	280	221	140	Request for oral hearing	\$
108 740 208 370 Reissue filing fee \$	138	1,510	138	1,510	Petition to institute a public use	•
114 160 214 80 Provisional filing fee \$					proceeding	\$
114 100 214 00 1104Blotter lining for \$	140	110	240	55	Petition to revive - unavoidable	\$
SUBTOTAL (1) \$	141	1,280	241	640	Petition to revive - unintentional	\$
SUBTUTAL (1) \$	142	1,280	242	640	Utility issue fee (or reissue)	\$
	143	460	243	230	Design issue fee	\$
2. EXTRA CLAIM FEES	144	620	244	310	Plant issue fee	\$
	122	130	122	130	Petitions to the Commissioner	\$
Fee from	123	50	123	50	Petitions related to provisional applications	\$
Extra Claims below Fee Paid Total Claims * -20** = * x 18.00 = \$	126	180	126	180	Submission of Information Disclosure Stmt	\$
	581	40	581	40	Recording each patent assignment per	
Independent * 3** = * x 84.00 = \$ Claims					property (times number of properties)	\$
	146	740	246	370	Filing a submission after final rejection	
Multiple Dependent $280.00 = \$00.00$					(37 CFR § 1.129(a))	\$
	149	740	249	370	For each additional invention to be	
Large Entity Small Entity					examined (37 CFR § 1.129(b))	\$
Fee Fee Fee Fee Description	179	740	279	370	Request for Continued Examination (RCE)	\$
Code (\$) Code (\$)	169	900	169	900	Request for expedited examination	
103 18 203 9 Claims in excess of 20		_			of a design application	\$
102 84 202 42 Independent Claims in excess of 3	Othe	r fee (spe	cify)			\$
104 280 204 140 Multiple dependent claim, if not paid						
109 84 209 42 ** Reissue independent claims	*Reduced	by Basic	Filing l	Fee Paid	SUBTOTAL (3)	\$65.00
over original patent	1	•			`,	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent						
SUBTOTAL (2) \$00.00 ** or number previously paid, if greater; For Reissues, see above						
p. v. vonory printy of grounds, 1 or Membrees, are moure						
CUDMITTED DV						

SUBMITTED BY Complete (if applicable)						
Name (Print/Type) SARAH S. BITTNER		Registration No. (Attorney/Agent)	47,426	(713) 238-8000		
Signature	Such d. Bien			Date	October 26_, 2001	

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